

Guidant Disclosure No.: 1800, 2017

Please type a plus sign (+) inside this box -

800, 2017 PTO/SB/05 (11-00) Approved for use through 10/31/2002. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION **TRANSMITTAL**

3764.P142 Attorney Docket No. Wout r Roorda First Inventor Vessel Enlargement By Arteriogenic Factor Delivery

EL485756496US

Only for new Horiprovision	al applications under 37 CFR 1.53(b)	Expres	s Maii Labei No. -					
APPLICATION ELEMENTS			ADDRESS TO: Assistant Commissioner for Patents Box Patent Application					
See MPEP chapter 600 conc	erning utility patent application conter	nts.	Wa	shington,	DC 202	231		
1.	orm (e.g., PTO/SB/17) thuplicate for fee processing) mall entity status. [Total Pages	7	Washington, DC 20231 7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. Computer Readable Form (CRF) b. Specification Sequence Listing on: i. CD-ROM or CD-R (2 copies); or ii. paper c. Statements verifying identity of above copies ACCOMPANYING APPLICATION PARTS 9. Assignment Papers (cover sheet & document(s)) 37 CFR 3.73(b) Statement Power of					
4. Drawing(s) (35 U. 5. Oath or Declaration a. Newly execu	[Total Pages	11. [12. [13. [English Translation Information Disclot Statement (IDS)/F Preliminary Amer	n Docume sure PTO-1449		pplicable) Copies of IDS Citations		
b. Copy from a prior application (37 CFR 1.63 (d)) (for continuation/divisional with Box 18 completed)			14. Return Receipt Postcard (MPEP 503) (2 Cards) (Should be specifically itemized)					
i. DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s)			15. Certified Copy of Priority Document(s) (if foreign priority is claimed) Request and Certification under 35 U.S.C. 122					
named in the 1.63(d)(2) a	16. [(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.						
· — ··	Sheet. See 37 CFR 1.76		.Missimore.it.it.					
18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: Continuation Divisional Continuation-in-part (CIP) For application information: Examiner Group Art Unit: For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.								
•	19. CORRESPO	NDENCE ADI	DRESS					
Customer Number or Bar Co	Customer Number or Ber Code Label (Insert Customer No. or Attach bar code label here) or Correspondence address below							
Name								
Address								
City		State		Zip (Code			
Country		Telephone		Fá	ax .			
Name (Print/Type)	William F. Ryann	Reg	istration No. (Attorne	y/Agent)	44,3	13		
Signature	William F. Ryan	~		Date	12,	127/00		

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.



Guidant Disclosure No.: 1800, 2017

PTO/SB/17 (11-00)
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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$) 926.00

Complete if Known					
Application Number	Not assigned				
Filing Date	Not assigned				
First Named Inventor	Wouter Roorda				
Examiner Name	Not assigned				
Group Art Unit	Not assigned				
Attorney Docket No.	3764.P142				

METHOD OF PAYMENT			FEE CALCULATION (continued)						
The Commissioner is hereby authorized to charge			3. ADDITIONAL FEES						
indicated fees and credit any overpayments to: Deposit			Larg		Sma				
Account Number	02-26	66		Fee	Entit	y Fee	Entit Fee	•	Fee Paid
Deposit [╡	Code		Cod		Fee Description	
	Blakely Sokoloff T	aylor & Zafman LLF)	105	130	205	65	Surcharge - late filing fee or oath	
Charge	Any Additional Fee Requ	ired		127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
Applica	int claims small entity state	us.		139	130	139	130	Non-English specification	
	CFR 1.27			147	2,520	147	2,520	For filing a request for ex parte reexamination	
	nent Enclosed:	☐ Money ☐ -		112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
Check		☐ Order ☐ O	ther	113	1,840*	113	1,840*	Requesting publication of SIR after	
	FEE CALCUI	LATION		115	110	215	E E	Examiner action Extension for reply within first month	
1. BASIC FI				116	110 390	216	55 105	Extension for reply within second month	
Large Entity Fee Fee	y Small Entity Fee Fee Fee De	escription		117	890	217		Extension for reply within third month	
Code (\$)	Code (\$)	Fee	Paid				695	Extension for reply within fourth month	
101 710	201 355 Utility fili	ng fee 710.	00	I .	1,890				-
106 320	206 160 Design fi	ling fee						Extension for reply within fifth month	
107 490	207 245 Plant filir	ng fee		119	310		155	Notice of Appeal	
108 710	208 355 Reissue	filing fee	_	120	310		155	Filing a brief in support of an appeal	
114 150	214 75 Provision	nal filing fee		121	270	221		Request for oral hearing	
SUBTOTAL (1) (\$)			138 140	1,510 110	138	1,510 55	Petition to institute a public use proceeding Petition to revive - unavoidable		
2. EXTRA C		(, (,,							
Z. EXTRA C		Fee from	D-:-		1,240			Petition to revive - unintentional Utility issue fee (or reissue)	
Tatal Claims	Extra 0		Paid 5.00	142	1,240 440		620 220	Design issue fee	
Total Claims Independent	3 - 3" = 0	× 80.00 = 0		144	600	244	300	Plant issue fee	
Claims Multiple Depend	m	^	=	122	130		130	Petitions to the Commissioner	
Marapio Dopona									
Large Entity	Small Entity			123	130		130	Petitions related to provisional applications	
Fee Fee I	Fee Fee Feel	Description		126	180	126	180	Submission of Information Disclosure Stmt	
	Code (\$) 203 9 Claims ii	n excess of 20		581	40	581	40	Recording each patent assignment per property (times number of properties)	
102 80 2	202 40 Indepen	dent claims in excess of	3	146	710	246	355	Filing a submission after final rejection	
104 270 2	204 135 Multiple	dependent claim, if not p	aid	140	710	249	355	(37 CFR § 1.129(a))	
109 80 2		ue independent claims riginal patent		149	710	449	JJ3	For each additional invention to be examined (37 CFR § 1.129(b))	
110 18 2		ue claims in excess of 20		179	710	279	355	Request for Continued Examination (RCE)	
	and o	ver original patent		169	900	169	900	Request for expedited examination of a design application	
	SUBTOTAL	(\$) 216.0	ا٦٥	Other fee (specify)					
		- (2)							
**or number previously paid, if greater; For Reissues, see above			*Reduced by Basic Filing Fee Paid SUBTOTAL (3) ((\$)						

SUBMITTED BY		Complete (ii	Complete (if applicable)		
Name (Print/Type)	William F. Ryann	Registration No. (Attorney/Agent)	44,313	Telephone	512/330-0844
Signature	William f. Ryan			Date	12/27/00

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Guidant Disclosure No.: 1800, 201

PTO/SB/35 (11-00)

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REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)

ed to resp	ond to a collection of in	Tormation unless it displays a valid OMB contr	oi numbe
First	Named Inventor	Wouter Ro rda	5T
Title	Vessel Enlargeme	ent By Arteriogenic Factor Delivery	μ.
Atty [Docket Number	3764.P142	

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

William F. Ryann, Reg. No. 44,313

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application upon filing.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant must notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.